

PATENT

Docket No. 5634.261

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: John C. Harvey and James W.  
Cuddihy

Serial No.: 08/470,571

Filing Date: 6-Jun-95

For: Signal Processing Apparatus and  
Methods

Group Art Unit: 2602

Examiner:

Assistant Commissioner of Patents  
Washington D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56(a) and in conformance with the procedures of 37 C.F.R. §§ 1.97-98 and M.P.E.P. § 609, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449.

The above-referenced application claims priority under 35 U.S.C. § 120 of the following applications:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Patent No.</u> |
|-------------------|--------------------|-------------------|
| 08/113,329        | August 30, 1993    | Pending           |
| 08/056,501        | May 3, 1993        | 5,335,277         |
| 07/849,226        | March 10, 1992     | 5,233,654         |
| 07/588,126        | September 25, 1990 | 5,109,414         |
| 07/096,096        | September 11, 1987 | 4,965,825         |
| 06/829,531        | February 14, 1986  | 4,704,725         |

06/317,510

November 3, 1981

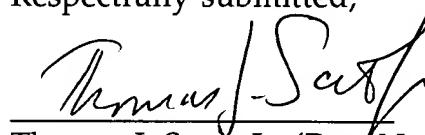
4,694,490

Applicants believe that all references cited on the attached PTO 1449 form were cited by or submitted to the PTO in one or more of the priority applications. Therefore, no copies of the listed references are provided herewith. It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

CERTIFICATION AND/OR FEE

Since this IDS is being filed pursuant to 37 C.F.R. § 1.97(b) before the later of three months after the filing date of the above-referenced application or the date of receipt of the first Office action on the merits, no certification or fee is required.

Respectfully submitted,

  
\_\_\_\_\_  
Thomas J. Scott, Jr. (Reg. No. 27,836)

Dated: January 29, 1996

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PTO 149  
TRADEMARKSINFORMATION DISCLOSURE  
STATEMENT BY APPLICANTTitle: Signal Processing Apparatus  
and MethodAttorney Docket No.  
5634.261Serial No.  
08/470,571

## Applicants

John C. Harvey and James W. Cuddihy

Filing Date:

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## U.S. Patent Documents

| EXAMINER INITIAL | PATENT NUMBER | PATENT DATE    | NAME            | CLASS/ SUBCLASS | FILING DATE* |
|------------------|---------------|----------------|-----------------|-----------------|--------------|
|                  | 2,511,085     | June 13, 1950  | Smith           | 179/1           |              |
|                  | 2,573,349     | Oct. 30, 1951  | Miller et al.   | 177/353         |              |
|                  | 2,769,023     | Oct. 30, 1956  | Loew et al.     | 178/5.1         |              |
|                  | 2,788,387     | Apr. 9, 1957   | Druz            | 178/5.1         |              |
|                  | 2,864,885     | Dec. 16, 1958  | Morris          | 178/5.1         |              |
|                  | 2,866,962     | Dec. 30, 1958  | Ellet           | 340/147         |              |
|                  | 3,016,091     | Jan. 9, 1962   | Daniele         | 162/391         |              |
|                  | 3,071,642     | Jan. 1, 1963   | Mountjoy et al. | 178/5.1         |              |
|                  | 3,213,201     | Oct. 19, 1965  | Flood et al.    | 179/15          |              |
|                  | 3,238,297     | Mar. 1, 1966   | Pawley et al.   | 178/22          |              |
|                  | 3,363,250     | Jan. 9, 1968   | Jacobson        | 343/225         |              |
|                  | 3,493,674     | Feb., 1970     | Houghton        | 178/5.6         |              |
|                  | 3,531,586     | Sept. 29, 1970 | Bass et al.     | 178/6           |              |
|                  | 3,536,833     | Oct. 27, 1970  | Guanella        | 178/22          |              |
|                  | 3,569,937     | Mar. 9, 1971   | Hoetter         | 340/171         |              |
|                  | 3,573,747     | Apr. 6, 1971   | Adams et al.    | 340/172.5       |              |
|                  | 3,584,142     | June 8, 1971   | Schoeffler      | 178/6.8         |              |
|                  | 3,601,528     | Aug. 24, 1971  | McVoy           | 178/5.1         |              |
|                  | 3,609,697     | Sept. 28, 1971 | Blevins et al.  | 340/172.5       |              |
|                  | 3,657,699     | Apr. 18, 1972  | Rocher et al.   | 340/146.1       |              |

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|  |   |                          |
|--|---|--------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><b>Title: Signal Processing Apparatus<br/>and Method</b> | Attorney Docket No.<br>5634.261                   | Serial No.<br>08/470,571 |
|  | Applicants<br>John C. Harvey and James W. Cuddihy |                          |
|  | Filing Date:<br>6-Jun-95                          | Group:<br>2602           |

| EXAMINER INITIAL | PATENT NUMBER | PATENT DATE    | NAME            | CLASS/ SUBCLASS | FILING DATE* |
|------------------|---------------|----------------|-----------------|-----------------|--------------|
|                  | 3,659,046     | Apr. 25, 1972  | Angeleri et al. | 178/22          |              |
|                  | 3,668,307     | June 6, 1972   | Face et al.     | 178/5.6         |              |
|                  | 3,684,823     | Aug. 15, 1972  | McVoy           | 178/5.1         |              |
|                  | 3,693,090     | Sept. 19, 1972 | Gabriel         | 325/308         |              |
|                  | 3,703,684     | Nov. 21 1972   | McVoy           | 325/31          |              |
|                  | 3,725,672     | Apr. 3, 1973   | Reuter          | 307/208         |              |
|                  | 3,729,581     | Apr. 24, 1973  | Anderson        | 178/6.8         |              |
|                  | 3,731,197     | May 1, 1973    | Clark           | 325/32          |              |
|                  | 3,733,430     | May 15, 1973   | Thompson et al. | 178/5.1         |              |
|                  | 3,736,369     | May 29, 1973   | Vogelman et al. | 178/5.1         |              |
|                  | 3,749,845     | July 31, 1973  | Fraser          | 179/15          |              |
|                  | 3,752,908     | Aug. 14, 1973  | Boenke et al.   | 178/5.6         |              |
|                  | 3,757,225     | Sep. 4, 1973   | Ukicki          | 325/308         |              |
|                  | 3,773,977     | Nov. 20, 1973  | Guanella        | 179/1.5         |              |
|                  | 3,777,053     | Dec. 4, 1973   | Wittig et al.   | 178/5.1         |              |
|                  | 3,789,137     | Jan. 29, 1974  | Newell          | 178/6.6         |              |
|                  | 3,790,700     | Feb. 5, 1974   | Callais et al.  | 178/5.1         |              |
|                  | 3,798,359     | Mar. 19, 1974  | Feistel         | 178/22          |              |
|                  | 3,798,610     | Mar. 19, 1974  | Bliss et al.    | 340/172.5       |              |
|                  | 3,803,491     | Apr. 9, 1974   | Osborn          | 325/53          |              |

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|------------------|---------------|---------------|------------------|--------------------|--------------|
|                  | 3,806,814     | Apr. 23, 1974 | Forbes           | 325/309            |              |
|                  | 3,819,852     | June 25, 1974 | Wolf             | 178/5.6            |              |
|                  | 3,824,332     | July 16, 1974 | Horowitz         | 178/5.1            |              |
|                  | 3,824,467     | July 16, 1974 | French           | 325/32             |              |
|                  | 3,825,893     | July 23, 1974 | Bossen et al.    | 340/146.1          |              |
|                  | 3,833,757     | Sept. 3, 1974 | Kirk, Jr. et al. | 178/5.6            |              |
|                  | 3,835,387     | Sep. 10, 1974 | Rookes et al.    | 325/55             |              |
|                  | 3,842,196     | Oct. 15, 1974 | Louglin          | 358/12             |              |
|                  | 3,845,391     | Oct. 29, 1974 | Crosby           | 325/64             |              |
|                  | 3,848,193     | Nov. 12, 1974 | Martin et al.    | 325/53             |              |
|                  | 3,882,392     | May 6, 1975   | Harney           | 325/53             |              |
|                  | 3,885,089     | May 20, 1975  | Callais et al.   | 178/5.1            |              |
|                  | 3,886,302     | May 27, 1975  | Kosco            | 178/5.1            |              |
|                  | 3,886,454     | May 27, 1975  | Oakley et al.    | 325/52             |              |
|                  | 3,890,461     | June 17, 1975 | Vogelman et al.  | 178/5.1            |              |
|                  | 3,891,792     | June 24, 1975 | Kimura           | 178/5.8R           |              |
|                  | 3,893,031     | July 1, 1975  | Majeau et al.    | 325/32             |              |
|                  | 3,894,176     | July 8, 1975  | Mellon           | 178/5.1            |              |
|                  | 3,899,633     | Aug. 12, 1975 | Sorenson et al.  | 178/5.1            |              |
|                  | 3,911,216     | Oct. 7, 1975  | Bartek et al.    | 178/22             |              |
|                  | 3,914,534     | Oct. 21, 1975 | Forbes           | 178/5.1            |              |

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|------------------|---------------|---------------|--------------------|-----------------|--------------|
|                  | 3,916,091     | Oct. 28, 1975 | Kirk, Jr. et al.   | 178/5.1         |              |
|                  | 3,919,462     | Nov. 11, 1975 | Hartung et al.     | 178/5.1         |              |
|                  | 3,921,151     | Nov. 18, 1975 | Guanella           | 340/172.5       |              |
|                  | 3,924,187     | Dec. 2, 1975  | Dormans            | 325/52          |              |
|                  | 3,934,079     | Jan. 20, 1976 | Barnhart           | 178/5.1         |              |
|                  | 3,936,593     | Feb. 3, 1976  | Aaronson et al.    | 178/5.1         |              |
|                  | 3,936,594     | Feb. 3, 1976  | Schubin et al.     | 178/5.1         |              |
|                  | 3,936,595     | Feb. 3, 1976  | Yanagimachi et al. | 178/5.6         |              |
|                  | 3,943,447     | Mar. 9, 1976  | Shomo, III         | 325/308         |              |
|                  | 3,947,882     | Mar. 30, 1976 | Lightner           | 360/92          |              |
|                  | 3,949,313     | Apr. 6 1976   | Tamada et al.      | 329/106         |              |
|                  | 3,956,615     | May 11, 1976  | Anderson et al.    | 235/61.7B       |              |
|                  | 3,970,790     | July 20, 1976 | Guanella           | 179/1.5S        |              |
|                  | 3,975,583     | Aug. 17, 1976 | Meadows            | 175/5.6         |              |
|                  | 3,978,449     | Aug. 31, 1976 | Sanders et al.     | 340/146.1       |              |
|                  | 3,987,398     | Oct. 19, 1976 | Fung               | 325/309         |              |
|                  | 3,988,528     | Oct. 26, 1976 | Yanagimachi et al. | 178/5.6         |              |
|                  | 3,997,718     | Dec. 14, 1976 | Ricketts et al.    | 178/6.8         |              |
|                  | 4,024,574     | May 17, 1977  | Nieson             | 358/117         |              |
|                  | 4,025,851     | May 24, 1977  | Hazelwood et al.   | 325/31          |              |
|                  | 4,025,947     | May 24, 1977  | Michael            | 358/86          |              |

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|------------------|---------------|----------------|--------------------|-----------------|--------------|
|                  | 4,025,948     | May 24, 1977   | Loshin             | 358/122         |              |
|                  | 4,031,548     | June 21, 1977  | Kato et al.        | 358/188         |              |
|                  | 4,048,619     | Sept. 13, 1977 | Forman, Jr. et al. | 340/154         |              |
|                  | 4,049,906     | Sept. 20, 1977 | Hafner et al.      | 178/2C          |              |
|                  | 4,054,911     | Oct. 18, 1977  | Fletcher et al.    | 358/141         |              |
|                  | 4,058,830     | Nov. 15, 1977  | Gulnet et al.      | 358/86          |              |
|                  | 4,068,264     | Jan. 10, 1978  | Pires              | 358/122         |              |
|                  | 4,079,419     | Mar. 14, 1978  | Siegle et al.      | 358/193         |              |
|                  | 4,081,612     | Mar. 28, 1978  | Hafner             | 179/15BA        |              |
|                  | 4,081,753     | Mar. 28, 1978  | Miller             | 325/396         |              |
|                  | 4,081,831     | Mar. 28, 1978  | Tang et al.        | 358/114         |              |
|                  | 4,091,417     | May 23, 1978   | Nieson             | 358/117         |              |
|                  | 4,104,486     | Aug. 1, 1978   | Martin et al.      | 179/2           |              |
|                  | 4,107,735     | Aug. 15, 1978  | Frohbach           | 358/84          |              |
|                  | 4,112,464     | Sept. 5, 1978  | Gulf et al.        | 358/122         |              |
|                  | 4,115,662     | Sept. 19, 1978 | Gulnet et al.      | 179/15BV        |              |
|                  | 4,115,807     | Sept 19, 1978  | Pires              | 358/122         |              |
|                  | 4,120,030     | Oct. 10, 1978  | Johnstine          | 364/200         |              |
|                  | 4,130,833     | Dec. 19, 1978  | Choenet            | 358/122         |              |
|                  | 4,131,881     | Dec. 26, 1978  | Robinson           | 340/167R        |              |
|                  | 4,138,726     | Feb. 6, 1979   | Girault et al.     | 364/521         |              |

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| EXAMINER INITIAL | PATENT NUMBER | PATENT DATE    | NAME            | CLASS/ SUBCLASS | FILING DATE* |
|------------------|---------------|----------------|-----------------|-----------------|--------------|
|                  | 4,160,120     | July 3, 1979   | Barnes et al.   | 178/22          |              |
|                  | 4,161,751     | July 17, 1979  | Ost             | 358/114         |              |
|                  | 4,163,254     | July 31, 1979  | Block et al.    | 358/122         |              |
|                  | 4,163,255     | July 31, 1979  | Pires           | 358/122         |              |
|                  | 4,168,396     | Sept. 18, 1979 | Best            | 178/22          |              |
|                  | 4,170,782     | Oct. 9, 1979   | Miller          | 358/84          |              |
|                  | 4,171,513     | Oct. 16, 1979  | Otey et al.     | 325/32          |              |
|                  | 4,172,213     | Oct. 23, 1979  | Barnes et al.   | 178/22          |              |
|                  | 4,186,413     | Jan. 29, 1980  | Mortimer        | 358/146         |              |
|                  | 4,196,310     | Apr. 1, 1980   | Forman et al.   | 178/22          |              |
|                  | 4,200,770     | Apr. 29, 1980  | Hellman et al.  | 178/22          |              |
|                  | 4,200,913     | Apr. 29, 1980  | Kuhar et al.    | 364/900         |              |
|                  | 4,205,343     | May 27, 1980   | Barrett         | 358/147         |              |
|                  | 4,213,124     | July 15, 1980  | Barda et al.    | 340/706         |              |
|                  | 4,215,366     | July 29, 1980  | Davidson        | 358/124         |              |
|                  | 4,215,370     | July 29, 1980  | Kirk, Jr.       | 358/146         |              |
|                  | 4,225,884     | Sept. 30, 1980 | Block et al.    | 358/122         |              |
|                  | 4,225,967     | Sep. 30, 1980  | Miwa et al.     | 455/68          |              |
|                  | 4,230,990     | Oct. 28, 1980  | Lert, Jr et al. | 455/67          |              |
|                  | 4,232,193     | Nov. 4, 1980   | Gerard          | 179/1.5R        |              |
|                  | 4,236,217     | Nov. 25, 1980  | Kennedy         | 364/483         |              |

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|------------------|---------------|----------------|------------------|--------------------|---------------|
|                  | 4,237,484     | Dec. 2, 1980   | Brown et al.     | 358/142            |               |
|                  | 4,245,245     | Jan. 13, 1981  | Matsumoto et al. | 358/122            |               |
|                  | 4,247,106     | Jan. 27, 1981  | Jeffers et al.   | 273/85G            |               |
|                  | 4,250,524     | Feb. 10, 1981  | Tomizawa         | 358/122            |               |
|                  | 4,253,114     | Feb. 24, 1981  | Tang et al.      | 358/114            |               |
|                  | 4,253,157     | Feb. 24, 1981  | Kirschner et al. | 364/900            |               |
|                  | 4,262,329     | Apr. 14, 1981  | Bright et al.    | 364/200            |               |
|                  | 4,264,924     | Apr. 28, 1981  | Freeman          | 358/86             |               |
|                  | 4,264,925     | Apr. 28, 1981  | Freeman et al.   | 358/86             |               |
|                  | 4,266,243     | May 5, 1981    | Shutterly        | 358/121            |               |
|                  | 4,275,411     | June 23, 1981  | Lippel           | 358/13             |               |
|                  | 4,283,602     | Aug. 11, 1981  | Adams et al.     | 179/1.5R           |               |
|                  | 4,284,976     | Aug. 18, 1981  | Gable et al.     | 340/147            |               |
|                  | 4,286,281     | Aug. 25 1981   | Suzuki           | 358/4              |               |
|                  | 4,287,592     | Sept. 1, 1981  | Paulish et al.   | 370/88             |               |
|                  | 4,288,809     | Sept. 8, 1981  | Yabe             | 358/12             |               |
|                  | 4,290,141     | Sept. 15, 1981 | Anderson et al.  | 455/2              |               |
|                  | 4,290,142     | Sept. 15, 1981 | Schnee et al.    | 455/3              |               |
|                  | 4,292,650     | Sept. 29, 1981 | Henderickson     | 358/173            |               |
|                  | 4,295,223     | Oct. 13, 1981  | Shutterly        | 455/72             |               |
|                  | 4,302,771     | Nov. 24, 1981  | Gargini          | 358/86             | July 23, 1980 |

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| EXAMINER INITIAL | PATENT NUMBER | PATENT DATE   | NAME               | CLASS/ SUBCLASS | FILING DATE*  |
|------------------|---------------|---------------|--------------------|-----------------|---------------|
|                  | 4,304,990     | Dec. 8, 1981  | Atalla             | 235/380         | Feb. 4, 1980  |
|                  | 4,305,101     | Dec. 8, 1981  | Yarbrough et al.   | 360/69          | Apr. 16, 1979 |
|                  | 4,305,131     | Dec. 8, 1981  | Best               | 364/521         |               |
|                  | 4,305,131     | Dec. 8, 1981  | Best               | 364/521         | Mar. 31, 1980 |
|                  | 4,306,289     | Dec. 15, 1981 | Lumley             | 364/200         | Feb. 4, 1980  |
|                  | 4,306,305     | Dec. 15, 1981 | Doi et al.         | 371/38          | Oct. 19, 1979 |
|                  | 4,307,446     | Dec. 22, 1981 | Barton et al.      | 364/200         | May 2, 1979   |
|                  | 4,310,854     | Jan. 12, 1982 | Baer               | 358/143         | Aug. 29, 1979 |
|                  | 4,312,016     | Jan. 19, 1982 | Glaab et al.       | 358/188         | Feb. 2, 1979  |
|                  | 4,313,132     | Jan. 26, 1982 | Doles et al.       | 358/114         | Oct. 31, 1979 |
|                  | 4,314,367     | Feb. 2, 1982  | Bakka et al.       | 370/60          | Jan. 17, 1980 |
|                  | 4,316,055     | Feb. 16, 1982 | Feistel            | 178/22.06       | Dec. 30, 1976 |
|                  | 4,316,245     | Feb. 16, 1982 | Luu et al.         | 364/200         | Dec. 7, 1978  |
|                  | 4,318,125     | Mar. 2, 1982  | Shutterly          | 358/121         | Apr. 25, 1979 |
|                  | 4,319,079     | Mar. 9, 1982  | Best               | 178/22.09       | Jan. 17, 1980 |
|                  | 4,322,745     | Mar. 30, 1982 | Saeki et al.       | 358/123         | Mar. 21, 1980 |
|                  | 4,323,921     | Apr. 6, 1982  | Guillou            | 358/114         | Jan. 23, 1980 |
|                  | 4,323,922     | Apr. 6, 1982  | den Toonder et al. | 358/117         | Dec. 17, 1979 |
|                  | 4,325,078     | Apr. 13, 1982 | Seatom et al.      | 358/117         | Nov. 20, 1979 |
|                  | 4,329,675     | May 11, 1982  | Van Hulle          | 358/86          | Sep. 23, 1980 |
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**INFORMATION DISCLOSURE  
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**Title: Signal Processing Apparatus  
and Method**

 Attorney Docket No.  
5634.261

 Serial No.  
08/470,571

**Applicants**

John C. Harvey and James W. Cuddihy

 Filing Date:  
6-Jun-95

 Group:  
2602

| EXAMINER INITIAL | PATENT NUMBER | PATENT DATE   | NAME               | CLASS/ SUBCLASS | FILING DATE*  |
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\* - If pertinent

\*\*\* - Claims priority from parent application filed prior to Nov. 3, 1981

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| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY         | CLASS/ SUBCLASS | TRANSLATION |
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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| <b>Title: Signal Processing Apparatus and Method</b> | Attorney Docket No.<br>5634.261                          | Serial No.<br>08/470,571 |
|  | <b>Applicants</b><br>John C. Harvey and James W. Cuddihy |                          |
|  | Filing Date:<br>6-Jun-95                                 | Group:<br>2602           |

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